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Patent/TM/App/Serial # 09/923877

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ATTENTION ATTENTION ATTENTION

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PATENT
Atty. Docket No.: DF-00200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Wen-Tsang Liu

Serial No. 09/923,877

Filed: 08/06/2001

For: **COLD CATHODE
FLUORESCENT FLAT LAMP**

Mail Stop 16
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Group Art Unit: 2879
Examiner: Santiago, Mariceli

**REFUND UNDER \$1.28 WHEN SMALL
ENTITY STATUS IS LATER
ESTABLISHED**

162 N. Wolfe Rd.
Sunnyvale, CA 94086
(408) 530-9700
Customer No. 28960

Applicant respectfully requests a refund of \$700.00 under §1.28.

On December 21, 2004, in reference to Application No. 09/923,877, titled Cold Cathode Fluorescent Flat Lamp, assigned to Delta Optoelectronics, Inc., applicant submitted payment of the issue fee for large entity status in the amount of \$1400, as well as \$340 for the publication fee and \$30 for extra copies, for a total of \$1730. With the issue fee being the only fee subject to change under the small entity status, the refund is \$700.

Applicant hereby asserts as according to §1.27(c)(1) that it is a small entity and was at the time the issue fee was paid and should therefore be entitled to small entity status.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: February 17, 2005

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No. 37,902
Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.6(c))

I hereby certify that this paper document, with any referred to as being attached or enclosed, is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 2/17/05 By: [Signature]

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- 1 -

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P. 001/006

PATENT MARKING
DATE

2005 OCT 25 PM 4:15

Haverstock & Owens LLP

PATENT, TRADEMARK & COPYRIGHT ATTORNEYS

US PATENT & TRADEMARK
OFFICE

162 NORTH WOLFE ROAD
SUNNYVALE, CALIFORNIA 94086
TELEPHONE (408) 530-9700
FACSIMILE (408) 530-9797

TO:

USPTO

REFUND DEPARTMENT

Facsimile: 571 273-6500

FROM:

Danielle Dalton
HAVERSTOCK & OWENS LLP
162 North Wolfe Road
Sunnyvale, California 94086
Telephone: (408) 530-9700
Facsimile: (408) 530-9797

Date: 10/25/05 Time: 9:50 a.m.

Pages (including cover): 6

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MESSAGE:

Refund Department,

I spoke with Latrice this morning. She informed me the request for refund filed February 17, 2005 was not received by your department.

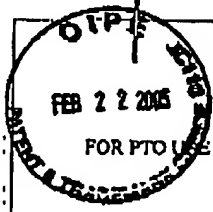
Attached is a copy of the request for refund as filed and the stamped receipt postcard. Please expedite this refund.

I thank you in advance for your assistance with this matter.

COPY

Serial No.: 09/923,877 H&O File No.: DF-00200 By: Joo
 In the Matter of The Application of: Wen-Tsang et al. By: asb
 Date Mailed: February 17, 2005 Due Date: _____
 The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

<input type="checkbox"/> Design Patent Application _____ Pages	<input type="checkbox"/> Form PTO-1533 (Not of Missing Parts)	<input checked="" type="checkbox"/> Transmittal Letter <u>2</u> Duplicates
<input type="checkbox"/> Utility Patent Application _____ Pages	<input type="checkbox"/> Form PTO-450 (Issue Fee Trans.) & dup.	<input checked="" type="checkbox"/> Certificate of Mailing
<input type="checkbox"/> Prov. Patent Application _____ Pages	<input type="checkbox"/> Form PTO-1050 (Cert. of Correction)	<input type="checkbox"/> Certificate of Express Mailing
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References Contained Thereon	<input checked="" type="checkbox"/> <u>Small Entity Status</u>	
<input type="checkbox"/> Information Disclosure Certification		
<input type="checkbox"/> Amendment/Response		
<input type="checkbox"/> Extension of Time Request _____ Month(s)		
<input type="checkbox"/> Petition		



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COPY

Serial No.: 09/983,877 H&O File No.: TF-00200 By: Joo
 In the Matter of The Application of: Wen-Tsang et al. By: 253
 Date Mailed: February 17, 2005 Due Date: _____
 The following has been received in the U.S. Patent and Trademark Office on the date stamped herein:

<input type="checkbox"/> Design Patent Application _____ Pages	<input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts)	<input checked="" type="checkbox"/> Transmittal Letter <input checked="" type="checkbox"/> Duplicates
<input type="checkbox"/> Utility Patent Application _____ Pages	<input type="checkbox"/> Form PTO-450 (Issue Fee Trans.) & dup.	<input type="checkbox"/> Certificate of Mailing
<input type="checkbox"/> Prov. Patent Application _____ Pages	<input type="checkbox"/> Form PTO-1050 (Cert. of Correction)	<input type="checkbox"/> Certificate of Expiration Mailing
<input type="checkbox"/> Declaration/Oath	<input type="checkbox"/> Maintenance Fee Transmittal Form	Label No. _____
<input type="checkbox"/> Power of Attorney _____	<input type="checkbox"/> Reexamination Request	<input type="checkbox"/> Check(s) \$ _____
<input type="checkbox"/> Assignment	<input type="checkbox"/> Notice of Appeal	<input checked="" type="checkbox"/> Deposit Account Attribution Form
<input type="checkbox"/> Form PTO-1504/1505	<input type="checkbox"/> Affidavit/Declaration	
<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Copy of Filing Receipt	
<input type="checkbox"/> Drawings _____ Sheet(s)	<input type="checkbox"/> Req. for Cont. of _____	
<input type="checkbox"/> <input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> Request for Non-Publication	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Rescission of Request for Non-Publication	
<input type="checkbox"/> Form PTO-1449 and Copies of _____	<input checked="" type="checkbox"/> <u>Request for Refund</u>	
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<input type="checkbox"/> Petition	<input type="checkbox"/> _____	

FOR PTO USE

Attorney Docket No.: PATENT
DF-00200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2879
Wen-Tsang Liu et al.) Examiner: Santiago, Mariceli
Serial No.: 09/923,877) TRANSMITTAL LETTER
Filed: August 6, 2001) 162 North Wolfe Road
For: **COLD CATHODE FLUORESCENT**) Sunnyvale, California 94086
FLAT LAMP) (408) 530-9700
Customer Number 28960

Mail Stop 16
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed please find a request for refund under § 1.28 when small entity status is later established for filing with the U.S. Patent and Trademark Office.

The Commissioner is authorized to charge any additional fee or credit any overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: February 17, 2005By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 2/17/05 By: [Signature]

- 1 -

Attorney Docket No.: PATENT
DF-00200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wen-Tsang Liu et al.

Serial No.: 09/923,877

Filed: August 6, 2001

For: **COLD CATHODE FLUORESCENT
FLAT LAMP**

Group Art Unit: 2879

Examiner: Santiago, Mariceli

TRANSMITTAL LETTER162 North Wolfe Road
Sunnyvale, California 94086
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Respectfully submitted,
HAVERSTOCK & OWENS LLPDated: February 17, 2005By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

IN OFFICE OF MAILING (157 CFR § 1.601)
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HAVERSTOCK & OWENS LLP.

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